Carrera Finance
SA · LUXEMBOURG

22-24, Boulevard Royal, L-2449 Ville-Haute
official@carrera-capital.at
www.carrera-capital.at
UID LURCSL.B175289 · LEI 52990025NF4XF55YSG18

Confidential — for the addressee only

Regulatory Status

Carrera Finance SA — Authorisation and Supervision

Effective date: 15 January 2026 · Luxembourg

1. Company Information

Legal name: Carrera Finance SA

Registered office: 22-24, Boulevard Royal, L-2449 Ville-Haute, Luxembourg

UID: LURCSL.B175289

LEI: 52990025NF4XF55YSG18

Incorporated: 2013

2. Regulatory Authorisation

Carrera Finance SA is authorised by the Luxembourg Commission for Supervision of Financial Sector (CSSF) as a portfolio manager (independent asset manager) pursuant to Loi du 5 avril 1993 (Law of 5 April 1993).

Date of CSSF authorisation: 15 March 2018

Ongoing prudential supervision is carried out by Commission de Surveillance du Secteur Financier (CSSF) (CSSF).

Activity: portfolio management of client assets held at an independent regulated custodian bank (Julius Bär & Co. AG), in accounts opened in the client's own name. The Company does NOT take deposits and does NOT itself hold client assets.

3. Verification of Regulatory Status

The authorisation of Carrera Finance SA can be independently verified in the public register maintained by CSSF.

To verify, visit: https://www.cssf.lu and search for "Carrera Finance" or UID LURCSL.B175289.

Supervisory organisation register: https://www.cssf.lu.

CSSF does NOT issue physical licence certificates or "regulatory status notices" with numbers, seals, signatures, or stamps. Any such document is NOT issued by the regulator and should be disregarded. Authorisation exists only as an entry in the public CSSF register.

4. Regulatory Framework

Carrera Finance SA operates under Loi du 5 avril 1993 (Law of 5 April 1993) and is subject to ongoing supervision.

Regulatory obligations include: organisational and capital requirements, rules on the handling of client assets, AML/KYC obligations, conduct of business standards, and reporting requirements.

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5. Client Protection

Supervision provides oversight of the Company's conduct and organisation, but does NOT guarantee investment performance or the value of client assets.

Clients should conduct their own due diligence before entering into any asset management relationship.

6. Regulatory Contact

Luxembourg Commission for Supervision of Financial Sector

Website: https://www.cssf.lu

For complaints or regulatory inquiries, clients may contact CSSF or the supervisory organisation directly.

This document is provided for information purposes only. It is not a certificate of authenticity and bears no official seal, signature or stamp. The current version and the regulatory status of the Company can be verified in the public CSSF register and on the official company website.

CARRERA FINANCE